

CDM Local Stakeholder Consultation - Update on CMP mandate and progress to date

Side Event:

Best Practices in Local Stakeholder Consultation in the CDM and other Climate Mitigation Mechanism

Bonn, Germany, 11 June 2014



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Structure

- Requirements: CDM Local Stakeholder Consultations
- Boards Initial Views and Clarifications
- Status of work & actions – to improve local stakeholder consultations
 - Track 1: Mandates by CMP (Warsaw)
 - Track 2: Mandates by the CDM Executive Board
 - Track 3: Review of the CDM Modalities and Procedures
- Action Taken by Board as of date (for all tracks)



Requirements of CDM Local stakeholder Consultation:

- CDM Modalities & Procedures stipulates two consultation process during validation:
 - Local stakeholders – prior to publication of PDD
 - Global stakeholder consultation (GSC) – 30 days (45 days for A/R projects) during publication of PDD in the website
- **Requirements of CDM Modalities & Procedures:**

“The DOE shall review the PDD to confirm that theComments by local stakeholders have been invited, a summary of the comments received has been provided by PP, and a report to the designated operational entity on how due account was taken of any comments has been received” [3/CMP.1, para 37 (b)]
- Board’s procedures (PS, VVS, PCP) provide further guidance on how these processes should be conducted and what actions should be undertaken by DOEs.



Boards Initial Views and Clarifications (EB64, EB69, EB70)

- Active role to be played by DNAs/host countries in ensuring the integrity and effectiveness of the local stakeholder consultation
- Board is flexible to the extent the proposals for improvement in Local stakeholder consultations, empower DNAs and provide flexibility to project developers while at the same time drawing on the principle “domestic issues are resolved locally”
- Sustainable Development is the prerogative of the Host country and CDM local stakeholder consultation process should not be used to define/ improve existing legislations/ regulations in the countries



Status of work & Actions – to improve local stakeholder consultations

Work progressing under three parallel tracks:

- **Track1: Mandates by CMP (Warsaw)**
 - CMP at its 9th session requested the CDM Executive Board, with the support of the secretariat, to collaborate with the Designated National Authorities Forum on collecting and making available, on the UNFCCC clean development mechanism website, information on practices conducted for local stakeholder consultations, and to provide technical assistance to designated national authorities, upon their request, for the development of guidelines for local stakeholder consultation in their countries
- **Track 2: Mandates by the CDM Executive Board**
 - Revision of PS, VVS, PCP as per work-plan of Board- 2014
 - Work to improve LSC as mandated by EB70 (para 92-94)
- **Track 3: Review of the CDM M&P**
 - Recommendation of the Board to the SBI on possible changes to the CDM M&P
 - Technical paper by the secretariat based on Parties views and submission on possible changes to the CDM M&P



Updates on status of work under Track 1: Mandates by CMP (Warsaw)

- EB78 agreed on the work-plan 2014, to deliver the CMP mandate. The Board set up project 227 and the project aims to:
 - a) Collaborate with the DNA Forum on collecting and making available, on the UNFCCC CDM website, to facilitate sharing experience among parties, information on the practices conducted for LSC; and
 - b) Provide technical assistance to DNAs, upon their request, for the development of guidelines for LSC in their countries.



Action Taken by Board as of date:

- Developed and launched website in 1st week of May 2014
- Communication sent to all DNAs. As on date only one DNA expressed interest to receive technical assistance to develop guidelines.
- EB 70 requested Secretariat to create more awareness among DNAs including at Carbon Forum Africa (July 2014) and at other events
- Board shall consider a concept note defining work plan to assist DNAs shortly.

<http://cdm.unfccc.int/DNA/index.html>

See the [diagramme](#)

DNAs have additional roles to play, such as the submission of proposed standardized baselines for their country, among others. These responsibilities have increased as the CDM has evolved.

Find a designated national authority

Please type the name of the country to view the contact details of its DNA(s)

If you are a DNA representative and your coordinates are incorrect or have changed, please send an email to moderator-dna@unfccc.int

DNA Help Desk:

Support for developing proposals for standardized baselines, microscale additionality and grid emission factors [more»](#)

[How to submit proposed microscale renewable energy technologies for automatic additionality more»](#) and [step by step guide](#)

[How to submit proposed standardized baselines more»](#)

[How to submit proposed Special Underdeveloped Zones \(SUZs\) for automatic additionality more»](#)

NEW

Call to DNAs to share local stakeholder consultation practices

As mandated by the CMP, the CDM Executive Board invites DNAs to provide to the UNFCCC secretariat information on practices conducted for local stakeholder consultations. Under the same mandate, DNAs who wish to develop guidelines for local stakeholder consultations in their countries can request technical assistance from the Secretariat. This CMP mandate aims at improving the transparency of the CDM and at helping DNAs put in place processes for complying with this important CDM requirement.

[more>>](#)

NEW

Sustainable development guiding tools for DNAs

DNAs who wish to receive assistance in monitoring sustainable development benefits of CDM projects in their territory can submit a request to the UNFCCC secretariat. The CDM Executive Board has been mandated by a CMP decision to develop guiding tools for DNAs who might be interested in receiving such assistance. This mandate is expected to enhance transparency of the sustainable development aspect of the CDM.

[more>>](#)

DNA Statistics

- + DNA by region
- + List of countries with 10 or fewer registered CDM projects as of 31 December 2010

DNA Forum webcast

- + 14th CDM DNA Forum Nov 2012, Doha, Qatar
- + Regional LAC DNA Training/Workshop Oct 2012, Belize City, Belize

[Archives](#)



Action Taken by Board as of date:

- At EB69 (Annex 22) and EB70 (para 91-93), the Board considered a “Concept note on improving the stakeholder consultation process” taking into account inputs received from stakeholders:
 - call for public inputs launched in 2011
 - 5th CDM Round Table (10 August 2012 in Bonn)
 - other inputs
- The Board :
 - For global stakeholder consultations: agreed to improve the process by expanding the type documents required to be published to improve transparency, by defining the scope of comments to be submitted and analyze the feasibility to submit them in the official language of the host country and by providing guidance to the DOEs on the treatment of the comments received

Action Taken by Board as of date:

- if significant changes have occurred in the project design after the initial local stakeholder consultation (LSC), the DOE, as part of its validation process, shall assess whether the LSC is still adequate.
- Agreed to establish a grievance mechanism for stakeholders to channel their complaints to the DNA of the host country in case they are not satisfied on how their comments have been addressed by the PPs.
- Requested the secretariat to further work on improving the process of LSC through, among others, defining the minimum groups of stakeholders that should be consulted, the means of consultations.
- Requested the secretariat to come-up with proposals to deal with stakeholders comments received after the registration of the project that are delinked from the verification process but nevertheless constitute a formal process for dealing with those concerns.

Action Taken by Board as of date:

- The Board made recommendations to SBI 38. Recommendation on stakeholders consultations consisted of:
 - Strengthening the requirement in paragraph 37 (chapeau and (b)) to require the DOE to validate that the local stakeholder consultation was carried out in accordance with host Party laws and regulations, and to evaluate how the content of the comments was duly taken into account.
- Possible changes in the technical paper submitted to SBI 40, based on parties' views, consisted of:
 - DNAs make publicly available and maintain up-to-date information relating to the applicable rules relating to local stakeholder consultation;
 - Include a provision allowing the Board or the secretariat to receive information on complaints regarding issues that are not related to the emission reductions or removal enhancements of a registered CDM project activity or PoA for forwarding to the relevant DNA for investigation and assessment and the outcome of such investigation.

Action Taken by Board as of date:

- Introduce a GSC process at the verification stage, allowing comments to be related to any aspects of the PDD and requiring the DOE that conducts the verification to forward the comments on the issues that are not related to emission reductions or removal enhancements to the relevant DNA for investigation and assessment and transfer of the outcome of such investigation to the Board.